

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3293/2022/794

Date: May 29, 2022

LankaBangla Securities Limited

(DSE TREC No. 132)

City Center (12th Floor), Unit # 12-C & D,
90/1, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3293/2022/616 Date: April 20, 2022 based on which the hearing was conducted on May 26, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. LBSL/BSEC/COMPL-LOAN/26052022/1 dated May 26, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (LankaBangla Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


29.05.2022

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.