

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3574/2024/338
Date: 03 April, 2024

By Courier Service

01. Mr. Khandoker Saffat Reza
Compliance Authority
LankaBangla Securities Ltd.
02. Mr. Abdul Malek
Head of Settlement
LankaBangla Securities Ltd.
03. Mr. Raihan Ahmed
Head of Compliance
LankaBangla Securities Ltd.

Attention: Managing Director/CEO, LankaBangla Securities Ltd.


Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3574/2024/256
Date: 18 March, 2024 based on which the hearing was conducted on April 01, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. no. LBSL/COMPL/BSEC/Janatains/256/01042024/01 dated 01 April 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


02/04/2024

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
- ✓ 3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.