

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2705/2019/830

By Special Messenger

Dated: June 02, 2022

LankaBangla Securities Ltd.
(DSE TREC holder no.132)
City Center (12th Floor)
Unit # 12-C & D, 90/1
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/2705/2019/612 dated April 20, 2022 based on which the hearing was conducted on May 19, 2022.

The Commission, considering your explanation vide letter ref. LBSL/BSEC/COMPL-LOAN/19052022/1 dated May 19, 2022 has decided to dispose of the proceedings against LankaBangla Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
02.06.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O.to Executive Director (MSI), BSEC
3. P.O to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited