

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2568/2018/ ৬৭৭
May10, 2022

By Courier Service

Lanka Bangla Securities Ltd. (TREC # 132)
City Center (12th Floor), Unit # 12-C & D, 90/1,
Motijheel C/A, Dhaka-1000
Bangladesh.

Attention: Managing Director/CEO

Subject:WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2568/2018/369 dated February 23, 2022 based on which the hearing was conducted on March 14, 2022.

Considering the explanation vide letter dated March 14, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Lanka Bangla Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Amirul Islam
10.05.2022

Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O. to Executive Director (SRI), BSEC
5. MD, DSE