



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

**BSEC/Enforcement/2329/2016/494**

**Date: - December 19, 2016**

**By Special Messenger**

Client Name: Mr. Md. Akter Hossain  
Lanka Bangla Securities Limited  
DSE Annex Building (1<sup>st</sup> Floor), Room No. 222  
9/E.Motijheel C/A  
Dhaka-1000

**Sub: Non-compliance of securities laws: -Warning.**

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2329/2016/448** dated **November 17, 2016** based on which the hearing was conducted on November 28, 2016.

The Commission, considering the explanation vide letter dated November 28, 2016 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Md. Akter Hossain, client of Lanka Bangla Securities Limited By placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Mustafizur Rahman  
Assistant Director

Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (Surveillance), BSEC  
✓ Executive Director (R&D), BSEC  
Chairman's Office, BSEC