

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2757/2019/1029

By Special Messenger

Dated: November 25, 2021

06 DEC 2021

Lanka Bangla Securities Limited
(DSE TREC holder no. 132)
City Center (12th Floor), Unit# 12-C & D
90/1, Motijheel C/A,
Dhaka-1000.

D
88/12/2021
Director (MIS)

DD-I(MIS)

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2757/2019/821 dated October 7, 2021 based on which the hearing was conducted on October 25, 2021.

The Commission, considering your explanation vide letter dated 25.10.2021 has decided to dispose of the proceedings against Lanka Bangla Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

MHC
25.11.2021

Md. Mahmudul Hasan
Assistant Director-iii (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd
Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.