

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon
Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh.

BSEC/Enforcement/2404/2017/360
Date: November 26, 2017

By Courier Service

Lankabangla Securities Limited, DSE Annex Building (1st Floor), Room No. 222
9/E.Motijheel C/A , Dhaka-1000

Sheltech Brokerage Limited, Otobi Center(Level-5), 14, Dilkusha C/A, Dhaka-1000

Beximco Securities Limited, House-19/B,Road-Dhanmondi R/A, Dhaka

HAC Securities Limited, 4-5, Motijheel C/A, Dhaka-1000

Globe Securities Limited, Room # 302, Stock Exchange Building,9/F, Motijheel C/A, Dhaka -
1000.

EBL Securities Limited,Plot-59 (1st Floor), Motijheel C/A, Dhaka- 1000.

Hasan Securities Limited, 115/7/A Distillery Road, Gandaria, Dhaka-1204

Attention: Managing Director/CEO

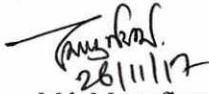
Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2404/2017/253** dated September 10, 2017 based on which the hearing was conducted on September 27, 2017.

The Commission, considering the explanation vide letter dated September 27, 2017 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


28/11/17

Md. Mustafizur Rahman
Assistant Director

Copy for information:

Executive Director (Surveillance), BSEC
Executive Director (R&D), BSEC
Executive Director (MIS), BSEC
Chairman's Office, BSEC