

BSEC/Enforcement/2741/2019/36
Dated: January 13, 2022

By Special Messenger

Latif Securities Limited
(DSE TRIC Holder No.80)
Floor No.303, DSE Bldg, Dhaka
www.latifsec.com

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16.01.2022
DDI (MIS)

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2741/2019/732 dated September 26, 2021 based on which the hearing was conducted on October 4, 2021.

The Commission, considering your explanation vide letter dated 11.01.2022 has decided to dispose of the proceedings against Latif Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

MLC
13.01.2022

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
- ~~3. PO to Executive Director (MIS), BSEC.~~
4. Managing Director, Dhaka Stock Exchange Ltd.