



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

~~HIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C.A. DHAKA-1000, BANGLADESH~~

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2461/2017/547

By Courier Service

Dated: December 11, 2018

Latif Securities Ltd. (DSE TREC # 80),
Room#310, Stock Exchange Building,
9/F, Motijeel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2461/2017/402 dated October 22, 2018 based on which the hearing was scheduled on November 07, 2018.

The Commission, considering your explanation vide letter dated November 06, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Latif Securities Ltd. (DSE TREC # 80) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


11.12.18

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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Copy for information:

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