



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/2712/2019/1244**

**By Special Messenger**

September 26, 2022

Lotus Shares & Securities Limited  
(TREC no. CSE 112)  
Jakir Mansion (2nd floor),  
1693 Sk.Mujib Road, Agrabad,  
Chattogram. Bangladesh

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2712/2019/1187 dated September 07, 2022 based on which the hearing was conducted on September 18, 2022.

The Commission, considering your explanation vide letter dated September 18, 2022 has decided to dispose of the proceedings against Lotus Shares & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*  
26.09.22

**Mahmuda Shireen**  
Joint Director (Enforcement)  
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**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O.to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Limited