



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2826/2019/৩৫৩ Dated: 23 March, 2020

By Special Messenger

Lotus Shares & Securities Ltd.
(CSE TREC # 112),
Jakir Mansion (2nd floor),
1693 Sk.Mujib Road,
Agrabad, Chittagong.
Bangladesh

Attention: Managing Director/CEO

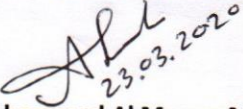
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2826/2019/406 dated August 22, 2019 based on which the hearing was conducted on October 14, 2019 and December 23, 2019.

The Commission, considering your explanation vide letter dated October 14, 2019 has decided to dispose of the proceedings against Lotus Shares & Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd. CDBL .
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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