



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3305/2022/1027**  
November 22, 2023

**By Courier Service**

M-Securities Limited  
Room # 434 (3rd Floor),  
9/E, Motijheel C/A, Dhaka-1000  
Bangladesh.

Attention: Managing Director/CEO

**Subject:** WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3305/2022/409 dated May 16, 2023 based on which the hearing was conducted on June 11, 2023.

Considering the explanation vide letter dated June 18, 2023 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the M-Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

*Amirul*  
22.11.2023

Md. Amirul Islam  
Assistant Director (Enforcement)  
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e-mail: amirul@sec.gov.bd

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
- ✓ 3. P.O to Executive Director (ICTD), BSEC
4. P.O. to Executive Director (MIAD), BSEC
5. MD, DSE