



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2737/2019/1043

Dated: August 02, 2022

By Special Messenger

M-Securities Limited  
(DSE TREC Holder #44)  
Room No #434 (3<sup>rd</sup> Floor)  
9/E, Motijheel C/A, Dhaka-1000.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2737/2019/859 dated June 14, 2022 based on which the hearing was conducted on June 26, 2022.

The Commission, considering your explanation vide letter dated 26.06.2022 has decided to dispose of the proceedings against M-Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Md. Mahmudul Hasan  
Assistant Director-v (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

**Copy for information:**

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. ~~PO~~ to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.