



BANGLADESH SECURITIES AND EXCHANGE COMMISSION
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar
Administrative Area, Dhaka 1207, Bangladesh



BSEC/Enforcement/1168/2013/282
Date: April 18, 2021

M-Securities Limited
(DSE TREC No. 044)
Room # 434 (3rd Floor),
9/E, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

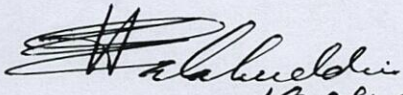
Subject: Non-compliance of securities related laws: Dispose off the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/1168/2013/73 dated: March 10, 2015, based on which the hearing was conducted on March 25, 2021.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated March 01, 2021 which was submitted at the time of hearing, has decided to dispose off the matter against you.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


18.04.2021

Md. Sultan Salah Uddin
Assistant Director (Enforcement)
Phone-02-55007131-32 Ext-1318
E-mail- ssuddin@sec.gov.bd

Copy for information:

1. Chief Executive Officer, Dhaka Stock Exchange Ltd.
2. Executive Director (SRI), BSEC
3. Executive Director (MIS), BSEC
4. Chairman's Office, BSEC.

“মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”