

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/1018/2012/186

Date: January 27, 2022

M/S Peoples Leasing and Financial Services Limited

Paramount Heights (Level-12 and 13)

65/2/1, Box Culvert Road

Purana Paltan, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/1018/2012/387 Date: August 08, 2012 based on which the hearing was conducted on September 04, 2012.

The Commission, considering and examining the facts regarding allegations, physical appearance and your written explanations vide letter No. PLES/Admin/2012/1496 dated September 04, 2012 which was submitted at the time of hearing, has decided to dispose of the matter against you (Peoples Leasing and Financial Services Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


27.01.2022

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.