



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

~~JBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH~~

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/310

Dated: October 22, 2017

By Special Messenger

Mr. Md. Billal Hossain,  
Client of M. Securities Limited,  
BO IDNo. 1202250040067291

**Attention:** Managing Director/CEO


**Sub: Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on April 19, 2017.

The Commission, considering your explanation vide letter dated April 17, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Mohammed Abdul Quaiem Khan by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

  
22.10.17  
**Hafiz Mohammed Harunur Rashid**

Deputy Director

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Md. Billal Hossain, Client of M. Securities Limited, BO IDNo. 1202250040067291)

**Copy for information:**

Commissioner (Enforcement), BSEC

Executive Director (SRI), BSEC

Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/309

Dated: October 22, 2017

**By Special Messenger**

Mr. Mohammed Abdul Quaiem Khan,  
Client of M. Securities Limited.  
BO IDNo. 1202250043800017

Attention: Managing Director/CEO

Sub: Non-compliance of securities related laws. WARNING

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on April 13, 2017.

The Commission, considering your explanation vide letter dated April 17, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Mohammed Abdul Quaiem Khan by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Mohammed Harun Rashid*  
22/10/17

For: Mohammed Harun Rashid

Deputy Director

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Mohammed Abdul Quaiem Khan, Client of M. Securities Limited, BO IDNo. 1202250043800017)

**Copy for information:**

Commissioner (Enforcement), BSEC

Executive Director (EPD), BSEC

Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Charter Office



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

~~HIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH~~

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC /Enforcement/2406/2017/308

Dated: October 22, 2017

**By Special Messenger**

Taslima Zaman,  
Client of M. Securities Limited,  
BO IDNo. 1202250060602743

Attention: Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notices no. BSEC /Enforcement/2406/2017/88 dated April 05, 2017 & BSEC/Enforcement/2406/2017/156 dated May 15, 2017 based on which the hearing was scheduled on May 25, 2017.

The Commission, considering the defaults, has decided to dispose of the proceedings against Taslima Zaman by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz Mohammed Harunur Rashid

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Taslima Zaman, Client of M. Securities Limited, BO IDNo. 1202250060602743)

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Commissioner (Enforcement), BSEC

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Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

~~UBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH~~

E-6/C. Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/307  
Dated: October 22, 2017

**By Special Messenger**

Mr. Md. Shafiqul Islam,  
Client of M. Securities Limited,  
BO IDNo. 1202250054633671

**Attention:** Managing Director/CEO

**Sub: Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on April 19, 2017.

The Commission, considering your explanation vide letter dated April 17, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Md. Shafiqul Islam by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Handwritten signature and date: 22/10/17*

**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Md. Shafiqul Islam, Client of M. Securities Limited, BO IDNo. 1202250054633671)

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Executive Director (Law), BSEC

Executive Director (MIS), BSEC

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# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/306

By Special Messenger

Dated: October 22, 2017

Mr. Md. Jamal Uddin,  
Client of M. Securities Limited,  
BO IDNo. 1202250000788086

**Attention:** Managing Director/CEO


Sub: Non-compliance of securities related laws. WARNING

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on April 19, 2017.

The Commission, considering your explanation vide letter dated April 18, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Md. Jamal Uddin by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

  
22.10.17

**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Md. Jamal Uddin, Client of M. Securities Limited, BO IDNo. 1202250000788086)

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Executive Director (Law), BSEC

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# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

~~JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH~~

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/305  
Dated: October 22, 2017

**By Special Messenger**

Mr. Md. Barkot Ahmed,  
Client of M. Securities Limited,  
BO IDNo. 1202250058508098

**Attention:** Managing Director/CEO

**Sub: Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on May 05, 2017.

The Commission, considering your explanation vide letter dated May 23, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Md. Barkot Ahmed by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Mohamud*  
22.10.17

**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Md. Barkot Ahmed, Client of M. Securities Limited, BO IDNo. 1202250058508098)

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# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/304  
Dated: October 22, 2017

By Special Messenger

Mr. Md. Ali Chowdhury,  
Client of M. Securities Limited,  
BO IDNo. 1202250005831561

**Attention:** Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on April 19, 2017.

The Commission, considering your explanation vide letter dated April 18, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Md. Ali Chowdhury by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Mohd*  
*22/10/17*  
**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Md. Ali Chowdhury, Client of M. Securities Limited, BO IDNo. 1202250005831561)

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Executive Director (Law), BSEC

Executive Director (MIS), BSEC

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# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

#BON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/303

Dated: October 22, 2017

**By Special Messenger**

Mr. Khan Mohd Ezaher,  
Client of M. Securities Limited,  
BO IDNo. 1202250006324163

**Attention:** Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on April 19, 2017.

The Commission, considering your explanation vide letter dated April 18, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Khan Mohd Ezaher by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*[Handwritten Signature]*  
22.10.17

**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Khan Mohd Ezaher, Client of M. Securities Limited, BO IDNo. 1202250006324163)

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Executive Director (Law), BSEC

Executive Director (MIS), BSEC

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# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HBBN BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/302

Dated: October 22, 2017

By Special Messenger

Mr. Kamal Ahmed,  
Client of M. Securities Limited,  
BO IDNo. 1202250034583458

**Attention:** Managing Director/CEO

Sub: Non-compliance of securities related laws. WARNING

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on April 19, 2017.

The Commission, considering your explanation vide letter dated April 17, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Kamal Ahmed by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Hafiz*  
*22.10.17*  
**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Kamal Ahmed, Client of M. Securities Limited, BO IDNo. 1202250034583458)

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Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

#BON-BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA 1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/301

Dated: October 22, 2017

By Special Messenger

Mr. Farid Alam Chowdhury,  
Client of M. Securities Limited,  
BO IDNo. 1202250044730456

**Attention:** Managing Director/CEO

Sub: Non-compliance of securities related laws. WARNING

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on May 25, 2017.

The Commission, considering your explanation vide letter dated May 24, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Farid Alam Chowdhury by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Mohd*  
*22/10/17*  
**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Farid Alam Chowdhury, Client of M. Securities Limited, BO IDNo. 1202250044730456)

**Copy for information:**

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Executive Director (Law), BSEC

Executive Director (MIS), BSEC

Chairman's Office



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

~~HIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH~~

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2406/2017/300

Dated: October 22, 2017

**By Special Messenger**

Mr. Akmam Omar,  
Client of M. Securities Limited,  
BO IDNo. 1202250001183364

**Attention:** Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2406/2017/88 dated April 05, 2017 based on which the hearing was conducted on May 25, 2017.

The Commission, considering your explanation vide letter dated May 13, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Akmam Omar by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

*Hafiz Mohammed Harunur Rashid*  
22/10/17  
**Hafiz Mohammed Harunur Rashid**

Deputy Director

**Distribution:**

Managing Director/CEO, M. Securities Limited (with a request to send the notice to the client Mr. Akmam Omar, Client of M. Securities Limited, BO IDNo. 1202250001183364)

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Executive Director (Law), BSEC

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