

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2853/2019/ 924

Dated: June 22, 2022

M. Zubair Securities Ltd.  
(TREC Holder 052)  
Al Haj Tower (4th Floor, South Side)  
82, Motijheel C/A  
Dhaka-1000.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2853/2019/ 1010 dated November 21, 2021 based on which the hearing was conducted on June 05, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated June 05, 2022, has decided to dispose of the matter against M. Zubair Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
22.06.2022

**Mohammed Abu Hena Mostafa**

Assistant Director (Enforcement)

Phone: 01816-243634

Email: mostafaanik@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (Enforcement), BSEC.
4. P.O to Executive Director (MIS)
5. M.D, DSE