

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2020/2013/354
Dated: February 23, 2022

By Special Messenger

M. Zubair Securities Ltd. (Member No. 52)
Room # 607, Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2020/2013/148, dated January 24, 2022 based on which the hearing was conducted on February 07, 2022.

The Commission, considering your explanation vide letter dated 07.02.2022 has decided to dispose of the proceedings against M. Zubair Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
23-02-22

Rubel Hossain
Assistant Director (Enforcement)
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Mobile : 01922-151361.

Copy for information:

1. PO to Executive Director (Surv.), BSEC.
2. PO to Executive Director (MIS), BSEC.
3. PS to Chairman, BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.