

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3655/2024/609
Dated: 18 July, 2024

By Special Messenger

- 01. MAH Securities Limited**
(DSE TREC No. 013)
Shahnewaz Bhaban (5th Floor)
9/C, Motijheel C/A, Dhaka-1000
- 02. Mr. Mohammad Ashfaque Uddin Ahmed**
Managing Director, CEO and Compliance Authority
MAH Securities Limited.
- 03. Mr. Md. Anowar Hossain**
Mirpur Branch In-Charge
MAH Securities Limited
- 04. Mr. Md. Rakib Khan**
Compliance Officer of Mirpur Branch
MAH Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3655/2024/551, Date: 27 June, 2024 based on which the hearing was conducted on 07 July, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. no. MAH//TREC-013/07/2024/2 dated July 07, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

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18/7/2024

Rabiul Islam
Assistant Director (Enforcement)
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Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.