



Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3005/2021/1180
Date: 05 September, 2022

By Courier Service

MTB Securities Ltd.
(DSE TREC No-197)
WW Tower (Level - 04)
68 Motijheel C/A, Dhaka-1000

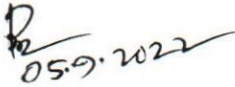
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3005/2021/732 Date: 18 May, 2022 based on which the hearing was conducted on 05 June, 2022.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. No. MTBSL/CHO/2022/202 dated June 05, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (MTB Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


05.9.2022

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
- ~~4. P.O. to Director (Enforcement), BSEC.~~
5. Managing Director, Dhaka Stock Exchange Ltd.