

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2764/2019/Part-2/784

Dated: May 25, 2022

MTB Securities Limited (DSE TREC No. 197)
WW Tower (Level - 04),
68 Motijheel C/A, Dhaka-1000.

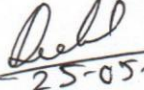
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2764/2019/Part-2/645 dated April 24, 2022 based on which the hearing was conducted on May 22, 2022.

The Commission, considering your explanation vide letter No. MTBSL/CHO/2022/158 dated 22.05.2022 has decided to dispose of the proceedings against MTB Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


25-05-22

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.