



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/ 3331/2022/ 1422
Dated: October 31, 2022

By Special Messenger

MTB Securities Limited (DSE TREC# 197)
WW Tower (Level - 04), 68 Motijheel C/A
Dhaka-1203

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3331/2016/1214 dated September 15, 2022 based on which the hearing was conducted on September 25, 2022.

The Commission, considering the explanation vide letter no. MTBSL/CHO/2022/342 dated September 25, 2021 which was submitted at the time of hearing and notification of withdrawal of complaint by the complainant vide letter ref. no. MTBSL/CHO/2022/378 dated October 25, 2022, has decided to dispose of the proceedings against MTB Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future unless and until any further accusation is raised in this certain matter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


31.10.2022
Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)
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Copy for information:

Chairman's Office, BSEC
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Managing Director, Dhaka Stock Exchange Ltd.