



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3343/2022/1485

Dated: November 16, 2022

M/S Hassan Brothers

(BOID: 1205590063888792)

33, Topkhana Road, Meherba Plaza (9th Floor)

Dhaka-1000

Mobile: 01985553637

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3343/2022/1323 Dated: October 17, 2022 based on which the hearing conducted on November 06, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated November 03, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (M/S Hassan Brothers) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O to Executive Director (MSI), BSEC
5. P.O. to Director (Enforcement), BSEC.
6. Managing Director, Dhaka Stock Exchange Limited.