



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3311/2023/ 358

By Special Messenger

Dated: May 09, 2023

MAHABUB ALAM
(BO ID #12055900616812)
Address: 146, Aligor House,
5th Floor, Motijheel C/A, Dhaka.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3311/2022/248 dated March 05, 2023 and considering your subsequent time extension letter on which rescheduled letter was issued No. BSEC/Enforcement/3351/2022/289 dated April 03, 2023 based on which the hearing was conducted on April 10, 2023.

The Commission, considering your explanation vide letter dated 10.04.2023 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

19.05.2023

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.