

Bangladesh Securities and Exchange Commission

Jiban Bima Tower, 10 Dilkusha C/A, Dhaka-1000, Bangladesh
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SEC/Enforcement/947/2011/323

By Special Messenger

May 30 , 2013

Mr.Md.Mahabubur Rahman
Client of Lanka Bangla Securities Limited
DSE Annex Building (1st floor)
9/E, Motijheel C/A
Dhaka-1000

Subject: Review: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with unusual trading in shares of Legacy Footwear Limited

Dear Sir,

This refers to your appeal dated February 10, 2013 and the Commission's Penalty Order No. SEC/Enforcement/947/2011/53 dated January 27, 2013.

The Commission has considered your review petition under section 26 of the Securities and Exchange Ordinance, 1969 and revised the penalty amount from Tk.15.00 (Fifteen) lac to Tk.5.00Lac in the penalty order no. SEC/Enforcement/947/2011/53 dated January 27, 2013. You are requested to deposit the penalty within seven days from the issuance of this letter.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited
Chief Executive Officer, Lanka Bangla Securities Limited (with a request to send the copy of the letter to Mr.Md.Mahabubur Rahman, a client of Lanka Bangla Securities Limited, Client code –D 7180)

Copy for information:

P.O to Commissioner (Enforcement), SEC
P.O to Executive Director (Surveillance), SEC
P.O to Executive Director (MIS), SEC
Chairman's office, SEC