

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3015/2021/1666
December 21, 2022

By Courier Service

Mahdi Amin
(BO ID 1205150008113026)
BD Finance Securities Ltd.
Plot # 64 (2nd Floor),
Motijheel C/A, Dhaka-1000

Attention: Managing Director/ CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3015/2021/710 dated May 17, 2022 based on which the hearing was conducted on June 05, 2022.

Considering the explanation vide letter dated June 05, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Mahdi Amin by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Amirul Islam
21.12.2022
Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (MSI), BSEC
5. MD, DSE