

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3021/2021/453

Dated: May 07, 2024

Mamun Salam

(BOID # 1204090000113211, Client Code # A0441)

Al Islam Chamber, 91 Agrabad, Commercial Area, Chattogram.

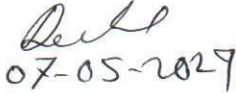
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3021/2021/039 Date: January 04, 2023, rescheduled letters no. BSEC/Enforcement/3021/2021/782 Date: October 15, 2023 and no. BSEC/Enforcement/3021/2021/1068 Date: December 07, 2023 based on which the hearing was conducted on 24 December 2023.

The Commission, considering your explanation vide letter ref. nill dated 18.12.2023 has decided to dispose of the proceedings against Mamun Salam (BOID # 1204090000113211) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,


07-05-2024

Rubel Hossain

Assistant Director (Enforcement)

E-mail: rubel@sec.gov.bd

Mobile : 01922-151361.

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; BSEC; with reference to DSE letter ref. DSE/2021/IED/445, dated January 17, 2021.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.
5. Managing Director, Chittagong Stock Exchange PLC.