

Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/3031/2021-567
Date: August 26, 2021

By Courier service

1. J. S. Maria Ahammed (client code: Y1576) & S. M. Enterprise (client code: A17922), LankaBangla Securities Limited, Assurance Nazir Tower (Level-6), 65/B, Kemal Attaturk Avenue, Banani, Dhaka-1213.
2. J. S. Maria Ahammed & S. M. Enterprise, House # 18, Flat B/5, Road-129, Gulshan-1, Dhaka-1212.


Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/3031/2021-445 dated August 05, 2021** based on which the hearing has been conducted on August 23, 2021.

The Commission, considering your apology and written explanation- which was submitted at the time of hearing, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


26/08/21

(Md. Rafiqunnabi)
Deputy Director (Enforcement)
e-mail- rafiq@sec.gov.bd

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (MSI), BSEC
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