

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3672/2024/660

Dated: August 12, 2024

- 01. Md. Fakhrul Islam Securities Limited** (DSE TREC # 090), PBL (Project Builders Limited) Tower (7th & 13th Floor), 39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.
- 02. Mr. Md. Fakhrul Islam, Managing Director, Compliance Authority** of Md. Fakhrul Islam Securities Limited, PBL (Project Builders Limited) Tower (7th & 13th Floor), 39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.
- 03. Mr. Qumrul Islam, Compliance Officer** of Md. Fakhrul Islam Securities Limited, PBL (Project Builders Limited) Tower (7th & 13th Floor), 39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.
- 04. Mr. S.M. Jamil Uddin Mahamud, in-charge of Agrabad, Chattogram Branch**, Md. Fakhrul Islam Securities Limited, PBL (Project Builders Limited) Tower (7th & 13th Floor), 39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3672/2024/604 dated 16 July 2024 based on which the hearing was conducted on 04 August 2024.

The Commission, considering your explanation vide letter ref. BSEC/Enforcement/3672/2024/604 dated 01.08.2024 has decided to dispose of the proceedings against you/your's by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


12-08-2024

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2024/3215 dated 26th May 2024.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.