

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3400/2022/280
Dated: March 21, 2024

By Special Messenger

Md. Abul Khayer
BO ID : 1205950073681925
(Client of Vertex Stock & Securities Ltd.)
356/2, Road -20
Khilgaon, Dhaka-1219


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3400/2022/1649 dated 13 December 2022 based on which the hearing was conducted on 21 December 2022.

The Commission, considering your explanation vide letter ref. nill dated 21.12.2022 has decided to dispose of the proceedings against Md. Abul Khayer (BO ID : 1205950073681925, Client of Vertex Stock & Securities Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,


21-03-2024
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361.

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter ref. DSE/2021/IED/8569 dated 21 December 2021.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.