



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

o/c

BSEC/Enforcement/3278/2022/ 1689

By Courier Service

Dated: December 28, 2022

Md. Amzad Hossain Fakir & Roksana Amzad
Client Code # 32006
BD Sunlife Securities Ltd
Samabaya Bank Bhaban (8th Floor), 9/D, Motijheel C/A
Dhaka-1000

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3278/2022/1472 dated November 16, 2022 based on which the hearing was scheduled and conducted on November 27, 2022.

The Commission, considering the explanation vide letter no. Nil dated November 27, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Md. Amzad Hossain Fakir & Roksana Amzad by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Managing Director, Dhaka Stock Exchange Ltd.