

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3143/2021/1012

**By Special Messenger**

**Dated: November 23, 2021**

MD. FAKHRUL ISLAM SECURITIES  
(DSE TREC holder no. 90)  
PBL Tower, (7th&13th Floor),  
17 Gulshan North C/A,  
Circle-2, Dhaka-1212.

06 DEC 2021  
08/12/2021  
Director (MIS)  
06 DEC 2021

**Attention:** Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3143/2021/815 dated October 7, 2021 based on which the hearing was conducted on October 25, 2021.

The Commission, considering your explanation vide letter dated 24.10.2021 has decided to dispose of the proceedings against MD. FAKHRUL ISLAM SECURITIES by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

MHS  
22.11.2021

Md. Mahmudul Hasan  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd