



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2467/2017/27
Dated: 04 January, 2024

By Special Messenger

01. **Md. Fakhru Islam Securities Limited**
(DSE TREC No. 090)
PBL (Project Builders Limited) Tower (7th & 13th Floor)
39 (Old), 17 (New), Gulshan North C/A
Gulshan-2, Dhaka-1212.
02. **Mr. Qamrul Islam**
Compliance Officer
Md. Fakhru Islam Securities Limited.
03. **Mr. Md. Tarequr Rahma**
Accounts In-Charge
Md. Fakhru Islam Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2467/2017/1083, Date: 10 December, 2023 based on which the hearing was conducted on 24 December, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. BSEC/Enforcement/2647/2017/1083 dated 20 December, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

R 04.01.2024

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.