

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3545/2024/201
Dated: February 28, 2024

By Special Messenger

01. Md. Fakhru Islam Securities Limited (DSE TREC # 090), PBL (Project Builders Limited) Tower (7th & 13th Floor), 39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.
02. Mr. Qumrul Islam, Compliance Officer, Md. Fakhru Islam Securities Limited, PBL (Project Builders Limited) Tower (7th & 13th Floor), 39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.
03. Md. Tarequr Rahman, Accounts I-Charge, Md. Fakhru Islam Securities Limited, PBL (Project Builders Limited) Tower (7th & 13th Floor), 39 (Old), 17 (New), Gulshan North C/A, Gulshan-2, Dhaka-1212.

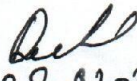
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3545/2024/122 dated 01 February 2024 based on which the hearing was conducted on 18 February 2024.

The Commission, considering your explanation vide letter ref. MFISL/BSEC/Show-cause/2024/ dated 15.02.2024 has decided to dispose of the proceedings against you/your's by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


28-02-2024

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/6935 dated 28th November 2023.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited.