



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/3365/2022/411

Dated: May 16, 2023

Md. Hasibul Hasan

House-17, Road-New 15 old 28, Dhanmondi, Dhaka-1209
01711-521325

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice no. **BSEC/Enforcement/3365/2022/306** dated **April 05, 2023** based on which the hearing was conducted on May 07, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated May 07, 2023 has decided to dispose of the matter against Md. Hasibul Hasan by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



16.05.2023

Mohammed Abu Hena Mostafa

Assistant Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS)
4. M.D, DSE