



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

০/০

BSEC/Enforcement/2849/2019-013
Date: January 08, 2020

By Courier

1. Md. Parvez Rahman
BO ID - 1203910015358451
House # 14, Road # 06
Flat # A3-D3, Dhanmondi R/A
Dhaka-1205.
2. Mrs. Selima Begum
BO ID - 1203910014824332
House # 14, Road # 06
Flat # A3-D3, Dhanmondi R/A
Dhaka-1205.


Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2849/2019-581 dated November 11, 2019 based on which the hearing was conducted on December 18, 2019.

The Commission, considering the accused oral apology and written explanation vide letter Ref: --- dated 14.12.2019-which were submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does you and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


08.01.2020

Md. Rafiqunnabi
Assistant Director
E-mail- rafiq@sec.gov.bd
Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRMICD), BSEC
4. Executive Director (R&D), BSEC
5. Office file