

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3014/2021-795
Date: October 05, 2021

By Courier service

Md. Saif Ullah
B-2, Flat # 203, Manashi Land (Shinepukur)
1 No. Box Nagar Thana, Shah Ali, Mirpur.


Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3014/2021-537 dated- August 19, 2021 based on which the hearing was conducted on September 14, 2021.

The Commission, considering the accused oral explanation and written explanation vide letter no- --- dated September 20, 2021, which was submitted after hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

 05.10.21

(Md. Rafiqunnabi)
Deputy Director (Enforcement)
E-mail- rafiq@sec.gov.bd

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (MSI), BSEC
4. Executive Director (MIS), BSEC
5. Office file

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