





Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3274/2022/1233 September 22, 2022

By Special Messenger

Md.Sajjadur Rahman Bhuiyan BO ID: 1205150037599233 Baitul Hosain Building (2nd Floor) 27, Dilkusha C/A, Dhaka-1000

Attention: Managing Director/CEO, BD Finance Securities Limited

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3274/2022/932 dated June 23, 2022 and subsequently rescheduled, based on which the hearing was conducted on August 11, 2022.

Considering the explanation vide letter No. dated August 11, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Mr.Md.Sajjadur Rahman Bhuiyan by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md.Yusuf Bhuiyan

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Additional Director (Enforcement)

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Copy for information:

1. P.S. to Chairman, BSEC

2. P.O to Commissioner (Enforcement), BSEC

3. P.O to Executive Director (Enforcement), BSEC

4. P.O.to Executive Director (SRI), BSEC