



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3402/2022/460
Date: June 13, 2023

By Courier service

Md. Salauddin Haider
BO ID (1205590059609443, 120559005
5529364,
UCB Stock Brokerage Limited
Bulus Center (17th Floor, West Side),
Plot # CWS(A)1, Road # 34,
Gulshan Avenue, Gulshan, Dhaka-1212

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3402/2022/346 dated May 02, 2023 based on which the hearing was conducted on May 28, 2023.

Considering the explanation vide letter No. Nil dated May 21, 2023 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Md. Salauddin Haider by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


13.06.2023
Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (MSI), BSEC
5. MD, DSE