

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3400/2022/282  
Dated: March 21, 2024

Md. Shahidul Islam Khan  
Authorized Representative of Vertex Stock and Securities Ltd.  
City Centre (13th Floor, Level-14)  
Unit # 13-A & 13-B, 90/1  
Motijheel C/A, Dhaka-1000.

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3400/2022/1651 dated 13 December 2022 based on which the hearing was conducted on 21 December 2022.

The Commission, considering your explanation vide letter ref. VSSL/953/01/2022 dated 21.12.2022 has decided to dispose of the proceedings against Md. Shahidul Islam Khan (Authorized Representative of Vertex Stock and Securities Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
21-03-2024

Rubel Hossain  
Assistant Director (Enforcement)  
E-mail: [rubel@sec.gov.bd](mailto:rubel@sec.gov.bd)  
Mobile : 01922-151361.

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter ref. DSE/2021/IED/8569 dated 21 December 2021.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.