

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/3232/2021/1450

By Special Messenger

November 06, 2022

Md. Sahidullah Securities Limited (DSE TREC No. 091) Room # 713 (6th Floor) Stock Exchange Building 9/F, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3232/2021/1254 dated September 27, 2022 based on which the hearing was conducted on October 16, 2022.

The Commission, considering your explanation vide letter dated October 16, 2022 has decided to dispose of the proceedings against Md. Sahidullah Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

06.11.22

Mahmuda Shireen
Joint Director (Enforcement)

E-mail: shireen@sec.gov.bd

Copy for information:

- 1. P.S. to Chairman, BSEC
- 2. P.O.to Executive Director (SRI), BSEC
- 3. P.O to Executive Director (MIS), BSEC
- 4. Managing Director, Dhaka Stock Exchange Limited