

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3513/2023/239
Date: 14 March, 2024

By Courier Service

Md. Sultan Mahmud

BOID: (1605120067596267 and 1203110067596267)
House # 22, Road # 113/A, Gulshan, Dhaka-1217.

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3513/2023/171
Date: 19 February, 2024 based on which the hearing was conducted on March 03, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated March 03, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

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14/03/2024

Rabiul Islam
Assistant Director (Enforcement)
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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
- ✓ 3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.