

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3234/2021/761
Dated: May 22, 2022

Megacity Securities Ltd.
(CSE TREC Holder #116)
Sattar Chamber (4th Floor) 99 Agrabad, C/A
Chattogram. Bangladesh

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3234/2021/574 Dated April 11, 2022 based on which the hearing was conducted on March 14, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated April 21, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (Megacity Securities Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


22.05.2022

Mohammed Abu Hena Mostafa
Assistant Director (Enforcement)
Phone: 01816-243634
Email: mostafaanik@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (Enforcement), BSEC.
4. P.O to Executive Director (MIS)
5. M.D, DSE