

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

**BSEC/Enforcement/3595/2024/479**

Dated: May 28, 2024

01. **Meghna Life Securities and Investment Ltd. (DSE TREC # 245)**, Meghna Life-Karnaphuli Bima Bhaban (14th Floor), 11/B & 11/D, Toyenbee Circular Road, Motijheel C/A, Dhaka-1000.
02. **Mr. Mohammed Abdul Ahad Mojumdar, CEO and Compliance Authority** of Meghna Life Securities and Investment Ltd., Meghna Life-Karnaphuli Bima Bhaban (14th Floor), 11/B & 11/D, Toyenbee Circular Road, Motijheel C/A, Dhaka-1000.
03. **Mr. Anowarul Ahmed Bhuiyan, Farmgate Branch In-Charge** of Meghna Life Securities and Investment Ltd., Meghna Life-Karnaphuli Bima Bhaban (14th Floor), 11/B & 11/D, Toyenbee Circular Road, Motijheel C/A, Dhaka-1000.
04. **Mr. Md. Asaduzzaman, Compliance Officer** of Farmgate Branch of Meghna Life Securities and Investment Ltd., Meghna Life-Karnaphuli Bima Bhaban (14th Floor), 11/B & 11/D, Toyenbee Circular Road, Motijheel C/A, Dhaka-1000.
05. **Mr. Md. Shafiu Bari Imran, employee** of Meghna Life Securities and Investment Ltd., Meghna Life-Karnaphuli Bima Bhaban (14th Floor), 11/B & 11/D, Toyenbee Circular Road, Motijheel C/A, Dhaka-1000.
06. **Mr. Md. Rezaul Karim, Compliance Officer & Accounts In-Charge** of Meghna Life Securities and Investment Ltd., Meghna Life-Karnaphuli Bima Bhaban (14th Floor), 11/B & 11/D, Toyenbee Circular Road, Motijheel C/A, Dhaka-1000.

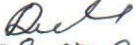
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3595/2024/383 dated 29 April 2024 based on which the hearing was conducted on 19 May 2024.

The Commission, considering your explanation vide letter ref. এমএলএসআইএল/এইচও/২০২৪/৬৭ dated 19.05.2024 has decided to dispose of the proceedings against Meghna Life Securities and Investment Ltd. (DSE TREC # 245) and the concerned officers of Meghna Life Securities and Investment Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

  
28-05-2024

Rubel Hossain

Assistant Director (Enforcement)

E-mail: [rubel@sec.gov.bd](mailto:rubel@sec.gov.bd)

Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2024/019/155 dated 9<sup>th</sup> January 2024.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.