





Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2527/2018 (639) September 13, 2021

By Courier Service

MIF

Meghna Life Securities and Investment Limited DSE TREC Holder No.245 Biman Bhaban (south-east side of 4th Floor) 100,Motijheel C/A Dhaka-1000

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2527/2018/408 dated June 14, 2021 based on which the hearing was conducted on September 02, 2021.

Considering the explanation vide letter No.MLSIL/HO/2021/354 dated September 01, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Meghna Life Securities and Investment Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md.Yusuf Bhuiyan

MIZZ

Additional Director (Enforcement)

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Copy for information:

1. P.S. to Chairman, BSEC

2. P.O to Commissioner (Enforcement), BSEC

3. P.O to Executive Director (Enforcement), BSEC

4. P.O.to Executive Director (SRI), BSEC