

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭ www.sec.gov.bd



BSEC/Enforcement/3001/2021 | 18 6 Dated: March 10, 2021

By Special Messenger

Meghna Life Securities and Investment Limited (DSE TREC No. 245)
Biman Bhaban (4th Floor, South-East Side),
100, Motijheel C/A,
Motijheel, Dhaka – 1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement /3001/2021/34 dated January 14, 2021 based on which the hearing was conducted on 01.02.2021.

The Commission, considering your explanation vide letter ref. No এমএলএসআইএল/এইচও/২০২১/৩২ dated January 31, 2021 has decided to dispose of the proceedings against Meghna Life Securities and Investment Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone: 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.

2. PO to Executive Director (SRI), BSEC.

3. PO to Executive Director (MIS), BSEC.

4. Managing Director, Dhaka Stock Exchange Ltd.