

**Bangladesh Securities and Exchange Commission**  
**Enforcement Division**  
**Enforcement Department**  
**Market, Market Intermediaries and Other Affairs Section**

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**BSEC/Enforcement/3335/2022/814**

**By Special Messenger**

**Dated: October 16, 2024**

Mika Securities Limited  
(DSE TREC Holder No# 215)  
Simpletree Lighthouse (9th Floor),  
53, Kemal Ataturk Avenue, Banani, Dhaka-1213.

Mr. Md. Shafiul Alam  
Authorized Representative  
Mika Securities Limited  
(DSE TREC Holder No# 215)  
Simpletree Lighthouse (9th Floor),  
53, Kemal Ataturk Avenue, Banani, Dhaka-1213.

**Attention:** Managing Director/CEO/Alleged Person

Subject: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3335/2022/742 dated September 19, 2024 based on which the hearing was conducted on 30.09.2024.

The Commission, considering your explanation vide letter ref. No. MSL/COMPLIANCE/BSEC-HEARING/2024/02 dated September 29, 2024 has decided to dispose of the matter of Mika Securities Limited and Mr. Md. Shafiul Alam.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



16.10.2024  
Md. Mahmudul Hasan  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

PS to Chairman, BSEC.

PO to Commissioner (All), BSEC.

PO to Executive Director (MIAD), BSEC. With reference to note dated: November 28, 2016.

PO to Executive Director (ICTD), BSEC.

Managing Director, Dhaka Stock Exchange Ltd. With reference to letter no# DSE/2016/IED/6388 dated September 22, 2016.

Securities Commission Bhaban, E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.