

BSEC/Enforcement/1186/2013/664

Dated: December 31, 2013

Mika Securities Ltd

DSE Membership No. 215
F. R. Tower (15th Floor),
32, Kamal Ataturk Avenue,
Banani, Dhaka- 1213

By Courier Service

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/1186/2013/630 dated November 21, 2013 based on which the hearing was conducted on November 27, 2013.

The Commission, considering your explanation vide letters dated 27.11.2013 has decided to dispose of the proceedings against Mika Securities Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,

Mohammad Siddiqur Rahman
Deputy Director (Enforcement)
Phone:9573910

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Chief Executive Officer, Dhaka Stock Exchange Ltd.