

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3016/2021 /982
July 04, 2022

By Special Messenger

1. Ms. Tasnia Shahjahan, Client Code: 69532.
2. Rabeya Bashry, Client Code:14602.
3. Shah Mohammad Tawsif Wahid, Client Code:69531
4. Dr.Naila Parveen, Cient Code:14603

Mika Securities Limited

Simpletree Lighthouse (9th Floor)
53, Kemal Ataturk Avenue, Banani, Dhaka-1213

Attention: Managing Director/CEO, Mika Securities Ltd. With request to serve the letter to the concerned persons.

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3016/2021/ 659 dated September 19, 2021 and reschedule No.BSEC/Enforcement/3016/2021/851 dated June 09,2022 based on which the hearing was conducted on June 26, 2022.

The Commission, considering the explanation vide letter No. dated October 24, 2021 submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
Phone: 55007139
e-mail: yusuf@sec.gov.bd

Copy for information:

- Chairman's office, BSEC
- P.O to Commissioner (Enforcement), BSEC
- P.O to Executive Director (SRMIC), BSEC
- ~~P.O to Executive Director (MIS), BSEC~~
- P.O to Executive Director (Enforcement), BSEC