



Bangladesh Securities and Exchange Commission

"মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার"

BSEC/Enforcement/3125/2021/1186
Dated: December 20, 2021

Mika Securities Limited
DSE TREC Holder Number - 215
Simpletree Lighthouse (9th Floor)
53, Kemal Ataturk Avenue, Banani, Dhaka-1213.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3125/2021/983 Dated: November 15, 2021 based on which the hearing was conducted on December 06, 2019.

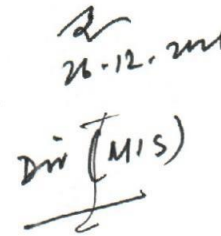
The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. MSL/CO-Reply/2021/01 dated December 06, 2021 which was submitted at the time of hearing, has decided to dispose of the matter against you (Mika Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

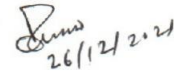
Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

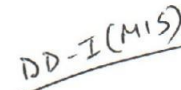
For Bangladesh Securities and Exchange Commission,


20.12.2021

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd


26.12.2021
Din (MIS)


26/12/2021


DD-I (MIS)

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.